Regular article The catalyzed hydrosilation reaction: substituent effects*

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Abstract. Ab initio electronic structure calculations using MP2 wavefunctions have been used to investigate a reaction path for the hydrosilation reaction catalyzed by divalent titanium [modeled by $TiH₂$, $TiCl₂$, and $Ti(C_5H_5)_2$. Optimized structures and energies are presented. All model reactions predict a barrierless reaction path compared to a barrier of 78 kcal/mol for the uncatalyzed reaction.

Key words: Hydrosilation $-$ CCSD(T) $-$ Substituent $effects - MP2 - Titanium catalysts$

1 Introduction

The hydrosilation reaction is a general method for adding an $Si-H$ bond across a C-C double bond. This method encompasses a wide variety of substituted alkenes, dienes, and alkynes, leading to many different organosilicon products. Thus the method is very useful; indeed, it is the second most important method of producing organosilanes on a large scale [1]. The general hydrosilation reaction may be written as:

$$
R_3Si-H + R'_nA=BR''_n \xrightarrow{catalyst} R'_n \xrightarrow{\n} \begin{array}{c}\nSiR_3 \ H \\
\downarrow \\
\downarrow \\
BR''_n\n\end{array} \tag{1}
$$

One of the simplest examples known experimentally is the addition of trichlorosilane to ethylene, which will occur rapidly at room temperature and give nearly 100% yields with a variety of homogeneous transition-metalbased catalysts [2].

Several analogous uncatalyzed reactions $(HSiCl₃,$ SiH_4 + ethylene, SiH_4 + propene) were studied previously [3]; all were found to have large $(\geq 54 \text{ kcal/mol})$ barriers. Thus, the catalyst is crucial in making the process economically viable. Industrially, one active catalyst is believed to be a divalent $TiCp₂$ species

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 $(Cp = C_5H_5)$. The role of TiCp₂ in catalyzing the polymerization of primary organosilanes has been studied by Harrod et al. [4]. These calculations suggest that $TiCp₂$ exhibits a strong catalytic effect, but owing to the size of the catalyst only selected points along the reaction path were studied in detail using double- ζ and triple- ζ quality basis sets and density functional theory. The nature of the bridging interactions between Ti and C in $Ti{C(SiMe₃)} = C(Ph)(Me){Cp₂}^+$, an intermediate in a Ziegler catalyst system, has also been examined. The computed structure matched the experimental structure very well, even though the calculations employed fairly low levels of theory (RHF wavefunctions with a 3-21G basis set) [5].

There have been several recent studies on similar catalysts. A study of a silylene-bridged $TiCp₂$ and its role in ethylene polymerization [6] employed RHF, MP2, and QCISD calculations with small basis sets (effective core potentials on the metal and 3-21G on the carbons and hydrogens). The results give a qualitative picture of the reaction path and several important structures along it, but the entire path was not examined. The Ziegler-Natta olefin polymerization process has been studied by several groups interested in the role of the $TiCl₂$ catalyst [7–9]. Similar structures were also found in a study of the McMurry reaction involving the $TiCl₂-catalyzed$ reductive coupling of carbonyl compounds [10]. While these studies do provide a qualitative picture of the process, they all use relatively small basis sets and modest levels of theory (RHF and MP2).

In a previous paper [11] we considered the simplest prototypical example of a catalyzed hydrosilation reaction (Eq. 1), in which A and B are carbon, R, R', and R" are hydrogen, and the catalyst is $TiH₂$. The choice of reactants and catalyst allowed mapping the entire reaction path at a high level of theory [MP2 geometries plus CCSD(T) energetics]. From this baseline work it was determined that the MP2 level of theory was adequate to determine both the reaction energies and the optimized geometries. Preliminary calculations on the effect of Cl substitution on the hydrosilation reaction have recently been reported [12].

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In this paper, we will examine the similarities and differences between the model system in our previous work and the actual experimental systems: the reaction studied will be ethylene + trichlorosilane, the simplest experimental system. The catalysts will include the model catalyst from our previous work, $TiH₂$, the catalyst used in model studies of many similar reactions, $TiCl₂$, and finally the catalyst believed to be involved in the experimental reaction system, $TiCp₂$.

2 Computational methods

In our previous work the minimum energy reaction path connecting reactants to products was determined using all-electron ab initio wavefunctions with a basis set of triple- ζ plus polarization quality. To examine the effects of substituents we required a more computationally efficient basis set capable of similar accuracy to our previous work.

We first tried using an SBKJC effective core potential (ECP) [13] basis on C, Si, Cl, and Ti and a $6-31$ G(p) basis set on hydrogen. The SBKJC basis set was extended with one d-type polarization function on each C, Si, and Cl [14]. This basis set was evaluated by reoptimizing the stationary points in the reaction path from our previous work. As shown in Fig. 1, the SBKJC basis set tracks the triple- ζ basis, but it overestimates the exothermicity by 7-8 kcal/mol over most of the reaction path, leading to an rms deviation of 6.7 kcal/mol. However, it was found that the rms difference drops to 0.5 kcal/mol when the C ECP basis set is replaced with a 6-31 $G(d)$ basis set (see Fig. 1). Thus we have used a 6-31 $G(d,p)$ [15] basis set on H and C, and the SBKJC ECP basis on Si, Cl, and Ti extended with one d-type polarization function on Si and Cl.

All calculations were performed using closed-shell Møller-Plesset second-order perturbation theory (MP2) as implemented in the GAMESS [16] program. The reaction paths involving $TiH₂$ and $TiCl₂$ were determined by first optimizing the structures of the minima and transition states (TS) using analytic gradients and a modified Newton-Raphson algorithm. Each stationary point was confirmed by computing the matrix of energy second derivatives (hessian), to obtain the harmonic normal modes and corresponding frequencies (each minimum has zero and each transition state has one imaginary mode). The calculated frequencies also provide the harmonic zero-point energies used to convert energy differences to $0 K$ enthalpy differences. Finally, the path connecting each TS to the nearest minimum on each side of the TS was computed using the Gonzalez-Schlegel second-order intrinsic reaction coordinate path (IRC) method $\tilde{[}17]$ with a step size 0.3 amu^{1/2} bohr for the first TS and 0.05 amu^{1/2} bohr for the second TS. For the reaction path involving TiCp2, selected stationary points were reoptimized as noted above. The TS was also confirmed by computing its hessian. Owing to the high computational cost of the large $TiCp₂$ reaction system, hessians, and thus ZPE corrections, were not performed on the minima.

3 Results and discussion

Figure 2 shows the energy profile of each of the reaction systems. The zero of energy on the curve for each reaction is the sum of the reactant energies (structures a, b, and c) for that reaction. The MP2 structures at each unique stationary point are given separately for the catalysts $TiH₂$, $TiCl₂$, and $TiCp₂$ in Figs. 3, 4, and 5, respectively [18]. Animations of the IRCs shown in Fig. 2 are available in the Appendix [19]. Structures that are identical for all three reactions, structures b, c, and o, are shown only in Fig. 3. The MP2 total energies and the MP2 vibrational zero point energy (ZPE) corrections for each geometry point marked in Figs. 2–5 are available in the Appendix. MP2 ZPE corrected energies are listed relative to the zero of energy in Table 1. Note that MP2 ZPE corrections were not performed on many of the geometries involving $TiCP₂$ owing to the high computational cost.

It is important to note that all points on the energy plot in Fig. 2 lie below the energy of the reactants, in contrast to the large barrier in the uncatalyzed reactions. In addition, all three reaction systems in the current study exhibit quite similar stationary points, although

there are some differences in the energetics of the reactions. The following text will lay out the reaction in detail for $TiCl₂$, shown in Fig. 4, noting the differences in the TiH₂ and TiCp₂ reactions where appropriate.

There are two possibilities for the first step of the reaction, both of which are barrierless processes. The first, and more exothermic, is to add the catalyst across the ethylene double bond to form the three-membered ring compound shown in d (Fig. 4). This process is downhill in energy by 59.6 kcal/mol at the ZPE corrected MP2 level of theory. Note that, based on the large exothermicity and the large (0.15 A) increase in the C-C bond length, structure d is a three-membered ring, not a π complex. Silane will then add to form the complex depicted in e. This second barrierless addition is downhill by 5.3 kcal/mol.

In our previous work [11] we found an alternative pathway, with $TiH₂$ adding to $SiH₄$ first. This addition resulted in a Ti insertion into an Si-H bond. However, the subsequent addition of C_2H_4 resulted in a barrierless rearrangement to structure e. In the present study, using $SiCl₃H$ instead of $SiH₄$, neither TiCl₂ nor TiH₂ inserted into the Si-H bond. Instead, a simple complex is formed as shown in structure d'. Since there is no insertion, the addition of $SiCl₃H$ to $TiCl₂$ (or $TiH₂$) is much less exothermic than the addition of $SiH₄$ (10.6 kcal/mol versus 31.1 kcal/mol). Just as in the previous work, once C_2H_4 is added the complex spontaneously rearranges to structure e. Since neither $TiCl₂$ nor $TiH₂$ inserted into the Si-H bond, it is unlikely that $TiCp₂$ would insert. Thus an optimization of a structure similar to \mathbf{d}' using $TiCp₂$ was not attempted.

The net result after the two reactants and the catalyst have been added together is the formation of compound e, with no intervening barrier in either of the two possible routes. The overall exothermicity to this point is more than 60 kcal/mol in all the systems studied, driven predominately by the addition of the catalyst to ethylene. This very large drop in energy drives the entire reaction path down in energy. In fact, the reaction path is forced down enough that all subsequent points are below the reactants in energy.

Recall that the final desired product is ethyltrichlorosilane. So, starting from compound e in Fig. 4, the silyl group needs to migrate to the nearest (α) carbon, and a hydrogen needs to be transferred to the adjacent (β) carbon, with the ultimate removal of the catalyst. Therefore, the next step in the reaction is to transfer a H from the complexed silane to the Ti and to attach the Si to the α -C.

In our previous study it was found that the system went through a small barrier to a structure **g** (not shown here) which has the silane rotated such that there are two bridging hydrogens. However, the small barrier went to zero when ZPE corrections were added. For $SiCl₃H$, a similar minimum would have one bridging hydrogen and one bridging chlorine. However, no such minimum could be found for any of the catalysts studied. Thus, it is likely that the TS represented by a structure f in the previous study (not shown here) simply does not exist for the reaction involving $SiCl₃H$.

In the model system studied previously a small (5.3 kcal/mol) barrier was found which connected structure e (through structure g) to structure i. For reaction III a similar TS, shown as h in Fig. 4, has been located 6.3 kcal/mol in energy above structure e. The IRCs from the TS are shown in Fig. 2. They illustrate the extreme flatness of the surface in the TS region, which is the reason the correct TS is so difficult to locate. The IRC leading towards the products for structure h stops after 11 steps and after lowering the energy by 0.006 kcal/mol, due to a very small gradient. Optimizations from the end of the IRC show that there might be two possible results of this step. The first is the desired

Fig. 4. MP2 structures along the minimum energy reaction path using $TiCl₂$ as the catalyst

 $\mathbf n$

Fig. 5. MP2 structures along the minimum energy path using $TiCp₂$ as the catalyst

Table 1. MP2 relative energies $(kcal/mol)$. The first three reactions include an MP2 ZPE correction. Those involving TiCp2 are not ZPE corrected

Geometry point	$TiH_2 + SiH_4$ $+ C2H4$	\mathbf{I} $TiH_2 + SiCl3H$ $+ C2H4$	Ш $TiCl2 + SiCl3H$ $+ C2H4$	IV $TiCp_2 + SiCl3H$ $+ C2H4$
$a + b + c$	θ	θ	$\overline{0}$	θ
(reactants)				
$d' + b$	-31.1	-8.3	-10.6	
$d + c$	-61.9	-61.8	-59.6	-47.2
e	-68.4	-66.2	-64.9	-61.2
f	-66.6			
	-66.6			
$\frac{g}{h}$	-61.3		-58.6	
i	-67.2	-70.0	-64.1	
j	-64.0			
$\bf k$	-65.4	-74.3	-70.5	-72.1
I	-33.5	-42.3	-44.5	-42.0
m	-39.0			
n	-59.2	-46.9	-51.8	-51.4
a (products) $0 +$	-28.0	-37.2	-37.2	-41.6

structure i, for which a reaction path has been constructed using a constrained optimization and an internal coordinate-based linear least-motion path. The highest point on this path connecting structure e with structure i is less than 6.5 kcal/mol (without ZPE correction). The second outcome shows that the transfer of a Cl from the Si to the Ti may be a competitive path to

the desired reaction path. However, the mechanism for this step reduces the bond angle between the two Cl on Ti to 106° during the reaction. Since Cp rings are much larger, steric hindrance is likely to prevent this process in the mechanism of this step for the real catalyst, $TiCp₂$.

After going through the first TS the reaction proceeds to form the four-membered ring shown in *i* in Fig. 4, which is 0.8 kcal/mol above structure **e**. The fourmembered ring can be opened by breaking the Si -Ti bond to give structure k. The barrier for the model allhydrogen system (I) is 3.2 kcal/mol. Structure k lies 6.4 kcal/mol lower in energy than structure j and is the global minimum on the reaction surface at 70.5 kcal/mol below the reactants in energy. Since \bf{k} is lower in energy than i, it is likely the barrier is also lower than for the model reaction (I). Because it is unlikely that structure *i* is qualitatively important to the overall reaction path, no attempt was made to locate it for the more complex reactions.

The final steps in the mechanism are to regenerate the catalyst by transfer of a hydrogen from Ti to C and then to eliminate the catalyst. The transition state for this process is shown in structure l (Fig. 4); the associated barrier height is 26.0 kcal/mol. Note, however, that this TS is still 44.5 kcal/mol lower in energy than the initial reactants.

In our previous work, the IRC for system I from this TS toward the products was found to proceed through a structure m, (not shown here), in which the catalyst is complexed to the ethylsilane product, then to a structure n (not shown here), in which the titanium inserts into one of the $Si-H$ bonds. Since, for $SiCl₃H$, there are no remaining Si-H bonds. the IRC leads to the expected structure n (Fig. 4) with no corresponding intermediate insertion product. The complex n lies 7.3 kcal/mol below the TS and 14.6 kcal/mol below the seperated products in energy. From structure n it is quite straightforward to remove the catalyst from the complex.

Once the catalyst is removed, the process is complete with ethyltrichlorosilane as the product. The overall process is exothermic by 37.2 kcal/mol at the ZPE corrected MP2 level of theory. This compares with the value of 37.1 kcal/mol computed by Day and Gordon at the $MP2/6-311G(d,p)$ level of theory.

The driving force for the entire reaction comes in the first two steps with the formation of the compound shown in structure e (Fig. 4), which is 64.9 kcal/mol below the reactants in energy. The reasons this structure is so stable are illustrated by the first two steps in the reaction. In the first step the electron deficient catalyst adds to the ethylene across the π bond in much the same manner as the addition of $CH₂$ to ethylene to form cyclopropane. The second step is much less exothermic and is driven mostly by the electrostatic attraction between the positively charged titanium $(+0.47)$ and the negative hydrogen (-0.09) on the silicon.

4 Conclusions

The results presented here clearly show that divalent titanium is an effective catalyst for the hydrosilation reaction. All four reaction systems studied show qualitatively the same behavior. The most significant change made was the substitution of three chlorines for three hydrogens. This substitution prevented the unwanted insertion of titanium into $Si-H$ bonds, but did not alter the qualitative aspects of the reaction path. The effect of the substituents on the titanium is smaller. While there is some change in the energetics, particularly with $TiCp₂$, there are not any qualitative changes in the main reaction path.

The overall catalyzed reaction has no net barrier, because of the very stable cyclic $TiX_2CH_2CH_2$ intermediate. However, the energy profile of the multistep process (Fig. 2) does offer the possibility of finding some of the intermediate structures if the process was carried out at low temperature.

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Appendix

Table A1. MP2 total energies (in Hartrees) for each reaction system

Table A2. MP2 zero point energy (ZPE) corrections (in Hartrees)

Table A3 (Contd.)

Table A4 (Contd.)							
Cl	17.0	1.16981	-1.18650	-0.63990			
Cl	17.0	2.85471	-0.21008	2.09003			
C1	17.0	2.72877	1.76578	-0.61521			
Point n							
C	6.0	-1.08658	0.97219	0.98847			
C	6.0	0.32023	1.34751	1.46932			
Ti	22.0	-1.39097	-1.42504	-0.24944			
Si	14.0	1.71078	0.46764	0.57771			
H	1.0	-1.16744	0.94585	-0.10489			
H	1.0	-1.82343	1.70335	1.31619			
H	1.0	0.50236	2.41375	1.31900			
H	1.0	0.42211	1.15311	2.53792			
C1	17.0	-1.44333	-0.78033	-2.46142			
H	1.0	-1.40977	0.03322	1.45205			
Cl	17.0	-2.27215	-2.66119	1.49039			
C1	17.0	1.17159	-1.55045	0.29388			
Сl	17.0	3.44890	0.47767	1.65692			
C1	17.0	2.01335	1.26518	-1.27897			
Point o: same as reaction II							

 \sim

$Table 5 (Cont d)$

Point o same as reaction II

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